

**Corporate Account Application Form****APP. CODE:** \_\_\_\_\_ (For internal use only)

The majority of information requested is required in order to meet the requirements of our regulatory body, the Financial Services Authority, and to ensure that GFT Global Markets UK Ltd ("GFT Global Markets") comply with International Money laundering procedures.

**Before you apply for an account, please take the time to consider all of the statements below. Only if you are able to agree to all of these statements should you proceed with your application.**

1. We are over 18 years of age.
2. We understand and accept the risks associated with financial spread betting, cfd trading and/or spot forex trading (as relevant); for example, that our losses may rapidly exceed any initial deposit or margin and may be unlimited.
3. We understand that products such as Stock Indices and FX are less prone to extreme volatility than for example Individual Equities or Commodities.
4. We consider financial spread betting, cfd trading and/or spot forex trading (as relevant) as being suitable products for us.
5. We have read the GFT Global Markets Jurisdiction Notice located within [www.gftuk.com](http://www.gftuk.com) and have ascertained that by entering into financial spread bets, cfd trades and/or spot forex trades (as relevant); we will not breach any applicable local regulation or law.
6. We understand that if we are uncertain in respect of any of the above statements we should seek independent financial/legal advice.

 Yes, we agree to all of the above statements.**REQUIRED TRADING PERMISSIONS:**Financial Spread Betting  CFD Trading Only  Spot Forex Trading Only  CFD & Spot Forex Trading  Mini Spot Forex **Please now take time to consider the following statements:**

1. We fully understand the risks inherent in the products that we have indicated above.
2. We consider that we have obtained such knowledge because: (please indicate)
  - Our education / training include sufficient and relevant mathematical / commercial / financial tuition.
  - We hold a relevant professional qualification.
  - We have worked within the financial sector and have been exposed to leveraged derivative products.
  - We have experience of trading such products with our own risk capital and without the advice of any third party.

 Yes, we agree to all of the above as indicated. No we cannot agree to all of the above as indicated.  
(Answering "No" does not necessarily prevent you from proceeding with your application).**BASE CURRENCY AND INITIAL DEPOSIT:****Base Currency of the account** (please specify): \_\_\_\_\_**Initial Deposit:**

Minimum £1,500 (or currency equivalent) for Financial Spread Betting and Standard Spot Forex and/ or CFD Trading

**We will initiate a bank transfer for:** \_\_\_\_\_ and these funds will be sent in the company name to the appropriate GFT Global Markets UK bank account as specified in the Account Documents / Deposit Instructions section of the website [www.gftuk.com](http://www.gftuk.com)**ADDITIONAL SPOT FOREX ACCOUNT OPTIONS:** Please select your required lot size and margin requirement:Lot Size  100,000  Other: \_\_\_\_\_  
Margin Requirement  100:1  Other: \_\_\_\_\_ (Min. 0.25%)

Registered in England and Wales, Company Number 5394757, Registered Office as above. Authorized and Regulated by FSA.

Page 1

GFT Global Markets UK Limited Corporate Account App Form DEPOSIT Jan 2008 v2.1

Page 1 of 1



## Corporate Account Application Form

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### COMPANY DETAILS:

Full Company Name: \_\_\_\_\_ Company Registration Number: \_\_\_\_\_

Date of Incorporation: \_\_\_\_\_ Tax Domicile of Company: \_\_\_\_\_

Registered Address: \_\_\_\_\_

Post/Zip Code: \_\_\_\_\_ Country: \_\_\_\_\_

Principal Office (if different from Registered Address): \_\_\_\_\_

Post/Zip Code: \_\_\_\_\_ Country: \_\_\_\_\_

Correspondence Address: Registered Address / Principal Office (Please delete as applicable)

Principal Business Activity: \_\_\_\_\_ Total Number of Staff: \_\_\_\_\_

Type of Company: Partnership  Limited Partnership  Limited Liability Partnership  Limited Company  PLC

Is the Company publicly quoted: Yes / No

Is the Company regulated by the Financial Services Authority in the U.K. or regulated in any other country: Yes / No

Full Details (including SIB Code (UK Authorised firms):

### CONTACT DETAILS: (Please include any International Dialling Code where applicable.)

Main switchboard number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Email Address: \_\_\_\_\_ Website Address: \_\_\_\_\_

Principal Contact: \_\_\_\_\_ Direct Telephone Number: \_\_\_\_\_

### PRINCIPAL BANKERS:

Bank: \_\_\_\_\_ Account / IBAN Number: \_\_\_\_\_

Sort / Branch / Swift / BIC Code: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Principal Contact: \_\_\_\_\_

Tel. No. of the Principal Contact: \_\_\_\_\_

**If the subject of this application is a subsidiary or division of another company, then GFT Global Markets UK Limited require that all of the above information also be provided in respect of the parent company on a separate sheet.**

### MONEY LAUNDERING REGULATORY REQUIREMENTS:

You are required to provide a complete list of all Directors of the above company and any shareholder who owns 20% or more of the shares, that clearly states their full name, their date of birth, current residential address and any previous address if resident for less than three years at their current residential address. Each Director and named shareholder must submit a copy of their passport and a document that confirms their residential address. In this respect we are able to accept a scanned or photocopied image of both sides of a National Identity Card providing it contains details of the current residential address. In the event that they do not possess a National Identity Card, we can accept a copy of a utility bill or bank/credit card statement that is less than 90 days old and which clearly states their name and current residential address. Please note that Mobile telephone bills are not acceptable for this purpose and nor are statements that have been printed from the internet.

## Corporate Account Application Form

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### ORIGINAL DOCUMENTATION:

Due to the potential for identity theft GFT UK suggest you do not send original documentation by standard postal delivery. Any original documents that you may submit to GFT Global Markets UK will be returned to you by way of recorded delivery within the U.K. and by way of secure airmail delivery for all other countries.

**MISCELLANEOUS INFORMATION:** How did you hear about GFT Global Markets?

### EXPERIENCE AND KNOWLEDGE:

1. Have you traded spread bets, CFD trades and/or spot forex? YES  NO  If yes, please answer the questions below:-

For how long have you been trading on a regular basis, by which we mean, at least twice a month?  Years  Months

Please indicate the frequency of your trades over the last 12 months.  0 – 25 trades.  26 – 50 trades.  50 and above.

What was your average stake/trade size over the last 12 months per bet/trade? \_\_\_\_\_

2. Have you traded other types of financial instruments, such as shares? YES  NO

If yes, please answer the following questions :- What type of financial instruments have you traded?

Please indicate the frequency of your trades over the last 12 months.  0 – 25 trades  26 – 50 trades  50 and above

What was your average stake/trade size over the last 12 months per bet/trade? \_\_\_\_\_

3. What percentage of the trading as referred to in 1 and 2 above resulted due to the advice of a third party?

Do the answers to 1, 2 and 3 above refer to Real Money  Demo trading  or both  ?

4. Are you now employed (or have you in the last 12 months been employed) in the financial sector in a professional position which requires knowledge of the trading you wish to carry on with GFT Global Markets? YES  NO

If yes, for how long have you been or were you so employed?  Years  months

5. Do you hold any educational or professional qualifications relevant to the type of trading you wish to carry on with GFT Global Markets or that you have indicated above has been undertaken by you? YES  NO

If yes, please specify: \_\_\_\_\_

### ACCOUNT SECURITY:

Please indicate your preferred security question by providing your appropriate answer to **one** of the following:

Your father's middle name?: \_\_\_\_\_ Your mother's maiden name?: \_\_\_\_\_

Your pet's name?: \_\_\_\_\_ Your favourite sports team?: \_\_\_\_\_

Your first car?: \_\_\_\_\_ Your favourite TV show?: \_\_\_\_\_

Your favourite food?: \_\_\_\_\_

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### WARNING

GFT Global Markets CFDs, Spot Forex markets and Financial Spread Bets are margined products; it is possible to lose more than your initial margin deposit or any variation margin that you may be required to deposit from time to time, therefore you should only speculate with money you can afford to lose. These products may not be suitable for all customers; therefore, please ensure you fully understand the risks involved and seek independent financial or legal advice if necessary and prior to entering into such transactions. GFT Global Markets reserves the right to deny you account facilities based on your previous experience of derivative trading. When you trade with GFT Global Markets you are merely wagering on the outcome of a financial instrument and therefore you do not take delivery of any applicable underlying instrument and nor are you entitled to any benefits related to the same.



GFT Global Markets UK Ltd. | Subsidiary of Global Futures & Forex, Ltd.

Registered in England and Wales, Company Number 5394757, Registered Office as above. Authorised and Regulated by FSA

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freephone | 0800 358 0864  
fax | +44 (0) 20 7170 0788

## Corporate Account Application Form

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### DATA PROTECTION

You are referred to clause 39 of our General Terms (which is repeated in our Privacy Policy). This sets out the treatment of information relating to you, in particular to whom it may be disclosed. You specifically confirm that you have read, understood and accept those terms. Please note that, amongst other uses, we can disclose your information to and undertake searches with credit references and fraud prevention agencies, banks and other relevant third parties. We and they can also search the Electoral Register. Those third parties may maintain a record of the search and this may affect future applications for credit by you.

### BET/TRADE CONFIRMATIONS

We will provide confirmations to you of your bets/trades and executed Orders via DealBook®, unless you contact us and request that they be sent on paper

### MARKETING

We will use information about you (see meaning of "Your Data" in our Terms) to keep you informed of our products and services by letter, telephone (including text messages), electronically (including e-mail) or otherwise. Our Associated Companies will also be able to contact you in these ways about their products and services. You consent to the use of Your Data in this way unless you tell us that you do not wish us or our Associated Companies to use Your Data in this manner. In order to do so, please either tick this box  or write to us, telephone us or email us at: GFT Global Markets UK Limited, 34th Floor, (CGC34-03), 25 Canada Square, London, E14 5LQ, telephone +44 (0) 20 7170 0770; (UK FREEPHONE 0800 358 0864), e-mail our Customer Services Team on [customerservices@gftuk.com](mailto:customerservices@gftuk.com)

### DECLARATION

We confirm that all of the directors of the above company making application and those authorised to trade on its' behalf are over 18 years of age and the information provided above is true and correct. We confirm that we have read in full, understood and agree to the GFT Global Markets General Terms, Spread Terms (if we are applying for a spread betting account) and/or the CFD and Spot Forex Terms, (if we are applying for a CFD and/or Spot Forex Account) (collectively "the Terms"), and the Risk Warning Notice. We understand that we are applying to become a customer of GFT Global Markets on the basis of the Terms and that a contract will only come in to being if GFT Global Markets accepts this application. We understand and accept the risks associated with financial spread betting, cfd trading and/or spot forex trading (as relevant); for example that our losses may exceed any deposit or margin and may be unlimited.

We agree to communicating with GFT Global Markets (without limitation) by the use of electronic communications. We confirm that we are duly authorised and have full capacity to enter into the Terms and to engage in financial spread betting, cfd trading and/or spot forex trading (as relevant) with GFT Global Markets; we confirm that in doing so we will not be in breach of any laws (English or elsewhere, tax, regulatory or otherwise) nor our memorandum, articles of association or other constitutional documents.

We understand that GFT Global Markets are required to verify both our corporate addresses and identities (as well as the addresses and identities of our directors and shareholders) in order to satisfy current international money laundering legislation and may use information held in any database or other electronic format in that connection. In this respect we agree to provide relevant documentation when required and cooperate.

**Non-UK Citizens/residents and non-UK betting/trading:** We understand that if we are non-UK residents or non-UK citizens or if we bet/trade from a jurisdiction outside the UK, we may be subject to laws (including tax laws) other than English law and that we are solely responsible for ascertaining and complying with such laws.

**Signed:** \_\_\_\_\_ **Name in full:** \_\_\_\_\_  
Duly authorised signatory for and on behalf of the applicant named above.

**Title:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Signed:** \_\_\_\_\_ **Name in full:** \_\_\_\_\_  
Duly authorised signatory for and on behalf of the applicant named above.

**Title:** \_\_\_\_\_ **Date:** \_\_\_\_\_



## Corporate Account Application Form

### BOARD/PARTNERSHIP RESOLUTION

We, the signatories named below, confirm that the information contained within this application form and any other documents that support this application are completely accurate and up to date. We also confirm that the following resolutions as stated below were duly passed at a meeting of the board of directors/the partners of .....on the.....day of..... at which a quorum was present at all times. The resolutions as stated have not been subsequently varied or revoked and no director/partner has then or since informed us of any declarable interest.

It was resolved to:

- i) Open an account with GFT Global Markets UK Limited for the purposes of:  
Spot Forex Trading  CFD Trading  Spot Forex & CFD Trading  Financial Spread Betting
- ii) Accept the GFT Global Markets UK Limited Terms (as defined on the application form).
- iii) Authorise those directors/partners named below to operate the account on behalf of the above company/partnership.
- iv) Immediately inform GFT Global Markets UK Limited as and when any of the directors/partners named below are no longer authorised to trade on behalf of the above named company/partnership.
- v) Warrant that the directors/partners named below are duly appointed directors/partners of the above named company and continue to hold the position as stated next to their name.
- vi) Provide GFT Global Markets UK Limited with all of the necessary documents as set out below.
- vii) Execute the application form and in particular to make the declarations therein to GFT Global Markets UK Limited.

Directors/Partners

<b>Signed:</b> _____	<b>Name:</b> _____	<b>Title:</b> _____	<b>Date:</b> _____
<b>Signed:</b> _____	<b>Name:</b> _____	<b>Title:</b> _____	<b>Date:</b> _____
<b>Signed:</b> _____	<b>Name:</b> _____	<b>Title:</b> _____	<b>Date:</b> _____
<b>Signed:</b> _____	<b>Name:</b> _____	<b>Title:</b> _____	<b>Date:</b> _____
<b>Signed:</b> _____	<b>Name:</b> _____	<b>Title:</b> _____	<b>Date:</b> _____

The following documentation must accompany the above Board/Partnership Resolution.  Enclosed

- i) A Certificate of Incorporation  Enclosed
- ii) Memorandum and Articles of Association, Partnership Deed or other constitutional documents  Enclosed
- iii) Original evidence of your registered address as well as your trading address where applicable  Enclosed
- iv) Copies of your audited accounts covering the last three years  Enclosed
- v) A complete and current list of shareholders / beneficiaries  Enclosed